**PATENT** 

Group 2700



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Case No. 99:089)

#4

In re Application of:	
Mark O. Vogel )	
)	Examiner: To be Assigned
Serial No.: 09/318,460 )	Art Unit: 2731
Filed: <b>May 25, 1999</b>	Art Ollit. 2731
For: <b>METHOD FOR DYNAMIC</b> )	
PERFORMANCE )	<u> </u>
<b>OPTIMIZATION IN A</b>	RECEIVED
DATA-OVER-CABLE SYSTEM )	JAN 1 1 2000

TRANSMITTAL LETTER

- 1. We are transmitting herewith the attached papers for the above identified new patent application:
  - 1) Supplemental Information Disclosure Statement (IDS) Letter
  - 2) Supplemental Information Disclosure Statement (IDS) PTO-Form 1449
  - 3) Copies of IDS Citations for 09/318,460 (24 Documents)
  - 4) Return Postcard
- 2. No fee is due.

Asst. Commissioner for Patents

Washington, D.C. 20231

- 3. **GENERAL AUTHORIZATION TO CHARGE OR CREDIT FEES:** Please charge any additional fees or credit overpayment to Deposit Account No. 13-2490. A duplicate copy of this sheet is enclosed.
- 4. CERTIFICATE OF MAILING UNDER 37 CFR § 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Asst. Commissioner for Patents, Washington, D.C. 20231 on this 7th day of January 2000.

Bv:

Enrique Perez

Reg. No. 43.853



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OPTIMIZATION IN A	) )	

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT LETTER

**Assistant Commissioner for Patents** Washington, D.C. 20231

Dear Sir:

Pursuant to the duty of disclosure provided by 35 C.F.R. § 1.56 and §§ 1.97-98, the applicants wish to make the following references of record in the above-identified application. Copies of the references are enclosed. Copies are also listed in the PTO-1449 form enclosed herewith. It is requested that the documents be given careful consideration and that they be cited of record in the prosecution history of the present application so that they will appear on the face of the patent issuing from the present application.

Portions of the references may be material to the examination of the pending claims, however no such admission is intended. 37 C.F.R. 1.97 (h). The references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is indented as to the relative importance of any portion of the references. This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. sections 102 or 103.

## **CITED REFERENCES**

## **U.S. Patent Documents**

	Document	Date	Name	Class
1.	5,710,885	January 20, 1998	Bondi	395
2.	5,854,901	December 29, 1998	Cole, et al.	709
3.	5,923,659	July 13, 1999	Curry, et al.	370
4.	5,872,523	February 16, 1999	Dellaverson, et al.	340
5.	5,790,677	August 4, 1998	Fox, et al.	380
6.	5,819,042	October 6, 1998	Hansen	395
7.	5,489,897	February 6, 1996	Inoue	340
8.	5,864,679	January 26, 1999	Kanai, et al.	709
9.	5,870,134	February 9, 1999	Laubach, et al.	348
10.	5,805,804	September 8, 1998	Laursen, et al.	395
11.	5,818,845	October 6, 1998	Moura, et al.	370
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13.	5,859,852	January 12, 1999	Moura, et al.	370
14.	5,922,049	July 13, 1999	Radia, et al.	709
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22.	5,623,601	April 22, 1997	Vu	395
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24.	5,138,712	August 11, 1992	Corbin	395

Respectfully submitted,

McDonnell, Boehnen, Hulbert & Berghoff

Date: January 7, 2000

bv:

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Registration/No. 43,853